



Acknowledgement of Fiduciary Duties and Responsibilities

As a member of the Authority's board of directors, I understand that I have a fiduciary obligation to perform my duties and responsibilities to the best of my abilities, in good faith and with proper diligence and care, consistent with the enabling statute, mission, and by-laws of the Authority and the laws of New York State. The requirements set forth in this acknowledgement are based on the provisions of New York State law, including but not limited to the Public Authorities Reform Act of 2009, Public Officers Law, and General Municipal Law. As a member of the board of directors:

I. Mission Statement

I have read and understand the mission of the Authority; and the mission is designed to achieve a public purpose on behalf of the State of New York. I further understand that my fiduciary duty to this Authority is derived from and governed by its mission.

I agree that I have an obligation to become knowledgeable about the mission, purpose, functions, responsibilities, and statutory duties of the Authority and, when I believe it necessary, to make reasonable inquiry of management and others with knowledge and expertise so as to inform my decisions.

II. Deliberation

I understand that my obligation is to act in the best interests of the Authority and the People of the State of New York whom the Authority serves.

I agree that I will exercise independent judgment on all matters before the board.

I understand that any interested party may comment on any matter or proposed resolution that comes before the board of directors consistent with the laws governing procurement policy and practice, be it the general public, an affected party, a party potentially impacted by such matter or an elected or appointed public official. However, I understand that the ultimate decision is mine and will be consistent with the mission of the Authority and my fiduciary duties as a member of the Authority's board of directors.

I will participate in training sessions, attend board and committee meetings, and engage fully in the board's and committee's decision-making process.

III. Confidentiality

I agree that I will not divulge confidential discussions and confidential matters that come before the board for consideration or action.

IV. Conflict of Interest

I agree to disclose to the board any conflicts, or the appearance of a conflict, of a personal, financial, ethical, or professional nature that could inhibit me from performing my duties in good faith and with due diligence and care.

I do not have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of my duties in the public interest.

Signature:

Print Name:

Paul E Erickson

Authority Name:

Town of Wallkill IDA

Date:

2/24/21

**TOWN OF WALLKILL INDUSTRIAL DEVELOPMENT AGENCY
ANNUAL FINANCIAL DISCLOSURE FORM**

I, Paul Erickson, being a (member/officer/employee) of the Town of Walkill Industrial Development Agency (the "Agency"), DO HEREBY CERTIFY, as follows:

1. This Certificate is being delivered for purposes of complying with the provisions of the Public Authorities Accountability Act of 2005.
2. That I own, directly or indirectly, five percent (5%) or more of the stock or other equity interest of the following companies:

n/a

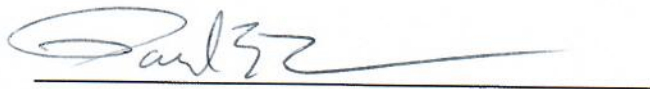
3. That I am an officer or employee of the following companies:

n/a

4. That I am a member of the board of directors of the following companies:

n/a

IN WITNESS WHEREOF, I have hereunto set my hand this 24 day of February 2021.



**TOWN OF WALLKILL INDUSTRIAL DEVELOPMENT AGENCY
CERTIFICATE OF INDEPENDENCE FOR MEMBERS
APPOINTED ON OR AFTER JANUARY 13, 2006**

Pursuant to subdivision 2 of Section 2825 of the Public Authorities Law, the majority of the members appointed on or after January 13, 2006, excluding ex-officio members, must be independent.

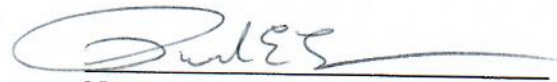
The undersigned, having been appointed to serve as a member of the Town of Wallkill Industrial Development Agency (the "Agency") on or after January 13, 2006, hereby certifies, pursuant to subdivision 2 of section 2825 of the Public Authorities Law, as follows:

He or she is not, and in the past two (2) years, has not been, employed by the Agency, or an affiliate in an executive capacity or been employed by an entity that received remuneration valued at more than \$15,000 for goods and services provided to the Agency or received any other form of financial assistance valued at more than \$15,000 from the Agency.

He or she is not a relative of an executive officer or employee in an executive position of the Agency or an affiliate.

He or she is not, and in the past two (2) years, has not been a lobbyist registered under a state or local law and paid by a client to influence the management decisions, contract awards, rate determinations or any other similar actions of the Agency of an affiliate.

IN WITNESS WHEREOF, the undersigned has executed this Certificate as of the 24 day of February, 2021.


Name: _____

Fraud Risk Inquiries Form

Name: Paul Erickson

Financial Statement Date: 2/24/11

Title: _____ Town of Wallkill: _____

Date of Hire: _____

Please respond to the following questions related to your understanding about risks of fraud and how the entity addresses them:

1. Do you have knowledge of any actual fraud or suspicions of fraud affecting the entity?

NO

2. Are you aware of any allegations of fraud or suspected fraud affecting the entity?

NO

3. What is your understanding of the risks of fraud within the entity, including any specific fraud risks the entity has identified or account balances or transaction classes that may be susceptible to fraud?

N/A

4. How does the entity communicate to employees the importance of ethical behavior and appropriate business practices?

At monthly meetings

5. What programs and controls has the entity implemented to address identified fraud risks or otherwise help prevent, deter, and detect fraud, and how are those programs and controls are monitored?

6. If applicable, has management/office staff/members reported to those charged with governance (Board) about how the entity's internal control serves to prevent, deter, and detect material misstatements or noncompliance due to fraud?

Fraud Risk Inquiries Form

7. Has the entity maintained its (a) compliance with laws, regulations, and provisions of contracts and grant agreements, (b) policies relative to the prevention of illegal acts, and (c) use of directives (for example, a code of ethics) and periodic representations obtained from management-level employees about compliance with laws and regulations, and provisions of contracts and grant agreements?

Completed by: Paul Erickson

Date: 2/24/21